



**28.10.13**

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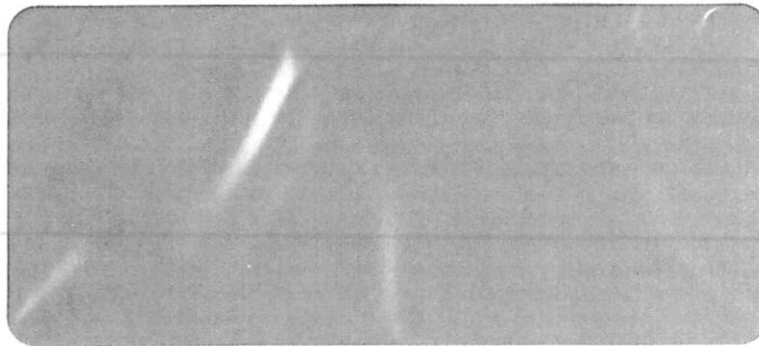
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**PRIORITY  
Stand 1**

**DIE POST**



FILED / RECEIVED

DEC 06 2013

EPIQ SYSTEMS



28. October 2013



**Registered**  
**Strictly Private and Confidential**

Lehman Brothers Holdings Claims Processing  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076

**Claim Transfer**

To whom it may concern

We have recently received a position of ISIN CH0034774510 from St. Galler Kantonalbank and would like to re-register the claim to our nominee. Please find the signed supporting Form 210a and the Evidence of Transfer of Claim attached.


Please send your confirmation and docket number to the email address mentioned below.

Please don't hesitate to contact me should you have any questions.

Yours sincerely



Michael Niggeler  
Executive



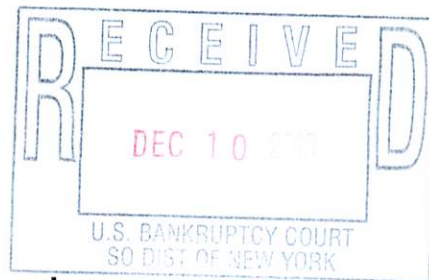
Michael Harrer  
Vice President

Rothschild Bank AG  
Zollikerstrasse 181  
8034 Zurich  
Schweiz

Telephone +41 (0) 44 384 7660  
Facsimile: +41 (0) 44 384 7217  
Corporate.Actions@Rothschildbank.com

Registered Number: CH-020.3.922.189-8  
Zollikerstrasse 181, 8034 Zurich, Switzerland





Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Rothschild Bank AG

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Rothschild Bank AG  
Zollikerstrasse 181  
CH-8034 Zürich

Phone: 0041443847665

Last Four Digits of Acct #: 5901

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

St. Galler Kantonalbank AG

Name of Transferor

Court Claim # (if known): 39109

Date Claim Filed: 10/13/2009

Amount of Claim: 119'050.01

Portion of Claim Transferred (see  
Schedule I): 79'366.67

Phone: 00412313456

Last Four Digits of Acct. #: 8764

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Rothschild Bank AG  
Transferee/Transferor's Agent

Date: October, 28th 2013

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**M. Harter**  
Rothschild Bank AG

M. Niggeler

**St. Galler Kantonalbank AG**

Pascal Schmid

Anina Zürcher



**EVIDENCE OF TRANSFER OF CLAIM**


**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **St. Galler Kantonalbank AG** ("Transferor") unconditionally and irrevocably transferred to **Rothschild Bank AG** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 39109**) of **USD 79'366.67** in nominal value/units related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON **October 24, 2013**.

**St. Galler Kantonalbank AG**

By:   
Name: Anina Zürcher  
Title: Member of Management

By:   
Name: Pascal Schmid  
Title: Member of Management



**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount of Claim related to Security</b>
CH0034774510	39109	October 13, 2009	Lehman Brothers Treasury Co. BV	USD 79'366.67

**SECURITIES DEPARTMENT  
AUTHORIZED SIGNATURES**

Telephone: +41 44 384 7111

Fax: +41 44 384 7222

SWIFT Address: ROTACHZZXXX



Last Name	First Name	
Buholzer	Irina	Director
Harrer	Michael	Vice President
Balmer	Thomas	Vice President
Kout	Martin	Vice President
Noest-Brink	Vanessa	Associate
Mudrinic	Biljana	Executive
Gusmini	Miriam	Executive
Imper	Werner	Executive
Meuwly	Jean-Charles	Executive
Niggeler	Michael	Executive
Halstrick	Gereon	Executive
Hagmann	Daniel	Executive
Ruoff	Carmen	Executive
Tangorra	Angelo	Executive

**Signature**

In principle, the Bank is legally bound by the signatures of any two persons authorized to sign. However, persons who signs as Executive are not allowed to sign jointly among themselves, but only with another person authorized to sign.

Zürich, 1.10.2013

Robert Deverell  
Head of Operations &  
Technology Services  
Wealth Management

Irina Buholzer  
Head of Operations Zurich  
Wealth Management